

## An $O(\log n)$ parallel algorithm for constructing a spanning tree on permutation graphs <sup>☆</sup>

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### Abstract

Let  $G = (V, E)$  be a graph with  $n$  vertices and  $m$  edges. The problem of constructing a spanning tree is to find a connected subgraph of  $G$  with  $n$  vertices and  $(n - 1)$  edges. For a weighted graph, the minimum spanning tree problem can be solved in  $O(\log m)$  time with  $O(m)$  processors on the CRCW PRAM, and for an unweighted graph, the spanning tree problem can be solved in  $O(\log n)$  time with  $O(n + m)$  processors on the CRCW PRAM. In this paper, we shall propose an  $O(\log n)$  time parallel algorithm with  $O(n/\log n)$  processors on the EREW PRAM for constructing a spanning tree on an unweighted permutation graph.

*Keywords:* Parallel algorithms; Spanning tree; Permutation graphs; Graph theory; EREW computational model

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### 1. Introduction

Let  $G = (V, E)$  be a graph,  $w(e)$  be the weighting function of the edges of  $G$ , where  $V$  and  $E$  are the vertex and edge sets, respectively. Every connected graph  $G$  contains a spanning subgraph that is a tree, called a *spanning tree* [6]. Typically, there are many different spanning trees in a connected graph, and for a spanning tree there are some properties which are described as follows:

The following are equivalent on a graph  $T = (V, E)$ , where  $n$  is the number of vertices and  $m$  is the number of edges.

- (1) The graph  $T$  is a tree.
- (2) The graph  $T$  is connected and  $m = n - 1$ .
- (3) Every pair of distinct vertices of  $T$  is joined by a unique path.
- (4) The graph  $T$  is acyclic and  $m = n - 1$ .

If there is a weight for each edge of  $G$ , then the minimum spanning tree problem (MST) is to find a spanning tree with the property that the sum of the weights of all the edges is the minimum among those spanning trees of  $G$ . Algorithms for the minimum spanning tree problem date back to the early work of Kruskal [9] and Prim [12]. In the last two decades, the complexity of these sequential algorithms has been reduced. Yao [15] provided an  $O(m \log \log n)$  algorithm for a network with  $n$  vertices and  $m$  edges. Fredman and Tarjan [3] improved upon this

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bound with an  $O(m\beta(m, n))$  procedure ( $\beta(m, n) = \min\{i \mid \log^{(i)} n \leq m/n\}$  where  $\log^{(i)}(n)$  is the iterated logarithm). Gabow et al. [4] gave a further improvement. Good descriptions of MST algorithms appear in [1] and [14]. The well-known parallel algorithm to solve minimum spanning tree problem for a weighted graph takes  $O(\log m)$  time with  $O(m)$  processors on the CRCW PRAM (Concurrent-Read-Concurrent-Write Parallel Random Access Machine) computational model [13]. Moreover, for an undirected unweighted graph, the problem of constructing a spanning tree can be solved in  $O(\log n)$  time with  $O(n + m)$  processors on CRCW PRAM by the algorithm for eliminating cycles [8].

In this paper we consider the problem of constructing a spanning tree for a permutation graph. For simplicity, we only consider the case of a connected permutation graph with  $n$  vertices and  $m$  edges. We present a parallel algorithm which runs in  $O(\log n)$  time with  $O(n/\log n)$  processors, and our approach uses the EREW PRAM (Exclusive-Read-Exclusive-Write Parallel Random Access Machine) computational model.

Let the sequence  $P = [p_1, p_2, \dots, p_n]$  be a permutation of the numbers  $1, 2, \dots, n$ . Then the *permutation graph* of  $P$ ,  $G(P) = G(V, E)$ , is defined as follows:

$$V = \{1, 2, \dots, n\},$$

$$E = \{(i, j) \mid (i - j)(p_i^{-1} - p_j^{-1}) < 0\}.$$

$p_i^{-1}$  is the position in the sequence where the number  $i$  can be found. In a more pictorial way, we write the numbers  $1, 2, \dots, n$  horizontally from left to right. In this matching diagram the line connecting the two  $i$ 's intersects the line connecting the two  $j$ 's if and only if  $(i, j)$  is in  $E$  [2,5,11].

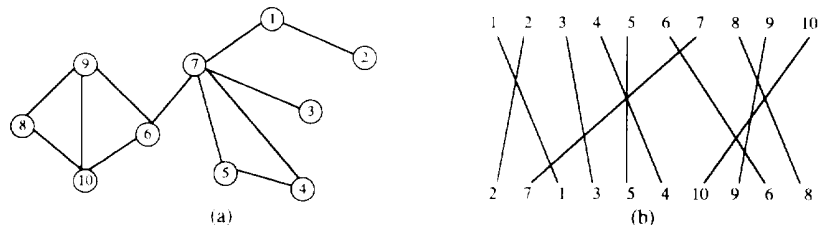


Fig. 1. (a) A permutation graph. (b) Its corresponding permutation diagram.

Fig. 1 shows a permutation graph and its corresponding permutation diagram.

The remaining part of this paper is organized as follows. In Section 2, we introduce an algorithm which can be parallelized to construct a spanning tree of a permutation graph. And the correctness of this algorithm will be validated in Section 3. Finally, the conclusion of this paper is presented in Section 4.

## 2. An algorithm for constructing a spanning tree

In this section we show an algorithm for constructing a spanning tree of a permutation graph. The algorithm can be parallelized by applying parallel prefix computation [10]. In the following, we use  $(u, v)$  to denote an edge incident to two distinct vertices  $u$  and  $v$ . Algorithm A which is used to construct a spanning tree is presented as follows.

### Algorithm A

*Input:* A sequence  $P = [p_1, p_2, \dots, p_n]$  of a permutation graph  $G$ .

*Output:* A spanning tree  $T^*$  of  $G$ .

*Method:*

*Step 1.* Let  $T^*$  be a graph with  $n$  vertices  $(1, 2, \dots, n)$  and no edges.

*Step 2.* Scan the sequence  $P$  from  $p_n$  to  $p_1$ . Let  $l_i$  be the minimum element in  $\{p_n, p_{n-1}, \dots, p_i\}$ ,  $i = n, n-1, \dots, 1$ .

*Step 3.* Scan the sequence  $P$  from  $p_1$  to  $p_n$ . Let  $r_i$  be the maximum element in  $\{p_1, p_2, \dots, p_i\}$ ,  $i = 1, 2, \dots, n$ .

$i$	1	2	3	4	5	6	7	8	9	10
$p_i$	2	7	1	3	5	4	10	9	6	8
$l_i$	1	1	1	3	4	4	6	6	6	8
$r_i$	2	7	7	7	7	7	10	10	10	10

Fig. 2. Illustration of Step 4.

- Step 4. For  $i = 1$  to  $n$ , if  $p_i \neq l_i$ , then  $T^* = T^* \cup (p_i, l_i)$ .
- Step 5. For  $i = 1$  to  $n - 1$ , if  $l_i \neq l_{i+1}$ , then  $T^* = T^* \cup (r_i, l_{i+1})$ .

We use the graph of Fig. 1 as an example to illustrate Algorithm A step by step.

- Step 1. Initially,  $T^*$  contains  $n$  vertices and no edges.
- Step 2. The sequence of  $l_i$ ,  $i = 1, 2, \dots, n$ , is  $[1, 1, 1, 3, 4, 4, 6, 6, 6, 8]$ .
- Step 3. The sequence of  $r_i$ ,  $i = 1, 2, \dots, n$ , is  $[2, 7, 7, 7, 7, 7, 10, 10, 10, 10]$ .
- Step 4. There are five edges,  $(2, 1)$ ,  $(7, 1)$ ,  $(5, 4)$ ,  $(10, 6)$ , and  $(9, 6)$ , which are included into  $T^*$  (see Fig. 2).
- Step 5. There are four edges,  $(7, 3)$ ,  $(7, 4)$ ,  $(7, 6)$  and  $(10, 8)$  of  $T^*$ , which are obtained in this step (see Fig. 3).

Finally, we obtain a spanning tree  $T^*$  which contains nine edges,  $(2, 1)$ ,  $(7, 1)$ ,  $(5, 4)$ ,  $(10, 6)$ ,  $(9, 6)$ ,  $(7, 3)$ ,  $(7, 4)$ ,  $(7, 6)$  and  $(10, 8)$ . We show the spanning tree pictorially in Fig. 4.

Since each step of Algorithm A takes  $O(n)$  time in sequential, the time-complexity of Algorithm A is  $O(n)$ . However, we have known that the parallel prefix computation can be done in  $O(\log n)$  time with  $O(n/\log n)$  processors on the EREW PRAM for  $n$ -object lists [7,10], Steps 2 and 3 can be done in  $O(\log n)$  time with  $O(n/$

$i$	1	2	3	4	5	6	7	8	9	10
$p_i$	2	7	1	3	5	4	10	9	6	8
$l_i$	1	1	1	3	4	4	6	6	6	8
$r_i$	2	7	7	7	7	7	10	10	10	10

Fig. 3. Illustration of Step 5.

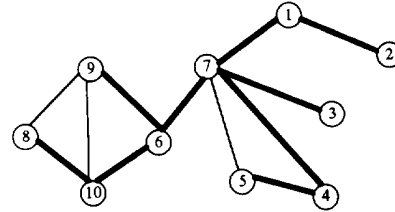


Fig. 4. The spanning tree obtained by Algorithm A of the graph in Fig. 1(a).

$\log n$ ) processors as well. And in parallel the other steps take  $O(\log n)$  time with  $O(n/\log n)$  processors each. Thus, the parallel time-complexity of Algorithm A is  $O(\log n)$  time with  $O(n/\log n)$  processors on the EREW PRAM. Besides, linear space is needed in this algorithm.

### 3. The correctness of algorithm A

In this section, we prove the correctness of Algorithm A. In the following lemmas, we assume that the permutation graph  $G = (V, E)$  has more than one vertex and is connected.

Lemmas 3.1 and 3.2 prove that the edges obtained at Steps 4 and 5 are the edges of a permutation graph  $G$ .

**Lemma 3.1.** *If  $p_i \neq l_i$ ,  $i = 1, 2, \dots, n$ , then  $(p_i, l_i)$  is an edge of  $G$ .*

**Proof.** Since  $p_i \neq l_i$  and  $l_i = \min(l_{i+1}, p_i)$ ,  $p_i > l_i = l_{i+1}$ . Furthermore, since  $l_i = l_{i+1}$ , we obtain  $p_{p_i}^{-1} < p_{l_i}^{-1}$ , where  $p_{p_i}^{-1} = i$  and  $p_{l_i}^{-1} \geq i + 1$ . Thus,  $(p_i - l_i)(p_{p_i}^{-1} - p_{l_i}^{-1}) < 0$ . By the definition of a permutation graph,  $(p_i, l_i)$  must be an edge of  $G$ .  $\square$

**Lemma 3.2.** *Every  $(r_i, l_{i+1})$ ,  $1 \leq i < n$ , is an edge of  $G$ .*

**Proof.** For proving  $(r_i, l_{i+1})$  is an edge, we have to show  $r_i > l_{i+1}$ . By Algorithm A,  $r_i$  is the maximum element in  $\{p_1, p_2, \dots, p_i\}$  and  $l_{i+1}$  is the minimum element in  $\{p_{i+1}, p_{i+2}, \dots, p_n\}$ . We shall prove the following two cases are impossible.

Case 1:  $r_i < l_{i+1}$ . This means there exists no element in  $\{p_1, p_2, \dots, p_i\}$  greater than any element in  $\{p_{i+1}, p_{i+2}, \dots, p_n\}$ . Since  $(p_x - p_y)(x - y) > 0$  for  $1 \leq x \leq i$  and  $i + 1 \leq y \leq n$ , there is no edge incident to both  $p_x$  and  $p_y$ , where  $p_x \in \{p_1, p_2, \dots, p_i\}$  and  $p_y \in \{p_{i+1}, p_{i+2}, \dots, p_n\}$ . Thus,  $G$  is not connected and this case contradicts our assumption.

Case 2:  $r_i = l_{i+1}$ . Since  $r_i = \max\{p_1, p_2, \dots, p_i\}$ ,  $l_{i+1} = \min\{p_{i+1}, p_{i+2}, \dots, p_n\}$  and  $p_i \neq p_j$  if  $i \neq j$ , this condition cannot hold.

Therefore,  $r_i > l_{i+1}$  and  $(r_i - l_{i+1})(p_{r_i}^{-1} - p_{l_{i+1}}^{-1}) < 0$ , where  $p_{r_i}^{-1} \leq i$  and  $p_{l_{i+1}}^{-1} \geq i + 1$ . We conclude that if  $G$  is a connected permutation graph, every  $(r_i, l_{i+1})$ ,  $1 \leq i \leq n$ , is an edge of  $G$ .  $\square$

Before we prove that the tree  $T^*$  found by Algorithm A is a spanning tree, we need the following definitions. Two different vertices  $p_i$  and  $p_j$  belong to the same *subtree component* if  $l_i = l_j$ . For  $p_i$ , if there exists no other vertex  $p_j$  which has  $l_i = l_j$ , then  $p_i$  is a *single vertex subtree component*. By the definition of  $l_i$ , every subtree component contains consecutive  $p_i$ 's. Using Fig. 1 as an example, Fig. 5 illustrates our definitions.  $\{2,7,1\}$ ,  $\{3\}$ ,  $\{5,4\}$ ,  $\{10,9,6\}$  and  $\{8\}$  are subtree components while  $\{3\}$  and  $\{8\}$  are single vertex subtree components.

**Lemma 3.3.** Let  $S = \{p_i, p_{i+1}, \dots, p_j\}$  be a subtree component. Then  $T = (S, E)$  forms a subtree of  $G$ , where  $E = \{(p_x, l_j) \mid i \leq x < j\}$ .

**Proof.** Since  $S$  is a subtree component,  $l_i = l_{i+1} = \dots = l_j$ . By the definition of  $l_i$ , we know  $p_j = l_j$  and  $p_x > l_j$  for  $i \leq x < j$ . By Lemma 3.1, every  $(p_x, l_j)$  is an edge of  $G$ . This means that  $T = (S, E)$  forms a subtree of  $G$ .  $\square$

$i$	1	2	3	4	5	6	7	8	9	10
$p_i$	2	7	1	3	5	4	10	9	6	8
$l_i$	1	1	1	3	4	4	6	6	6	8
$r_i$	2	7	7	7	7	7	10	10	10	10

Fig. 5. Subtree components.

**Theorem 3.4** Algorithm A finds a spanning tree of a permutation graph.

**Proof.** Suppose  $S_1, S_2, \dots, S_k$  are all of the subtree components of  $G$  and have  $n_1, n_2, \dots, n_k$ , respectively, vertices.  $T_1, T_2, \dots, T_k$  are their corresponding subtrees as defined in Lemma 3.3. First, we have to prove that  $n_1 + n_2 + \dots + n_k = n$ , where  $n$  is the number of vertices in  $G$ . Since every  $p_i$  only has a unique  $l_i$ , every  $p_i$  can only belong to one exact subtree component. It implies that  $n_1 + n_2 + \dots + n_k = n$ . Second, we have to show that those  $k$  subtrees  $T_1, T_2, \dots, T_k$  can be combined to form a tree. Let  $S_x = \{p_i, p_{i+1}, \dots, p_j\}$ ,  $1 < i < j \leq n$ , be a subtree component. Since  $r_{i-1} \in \{p_1, p_2, \dots, p_{i-1}\}$  (the maximum element in  $\{p_1, p_2, \dots, p_{i-1}\}$ ),  $r_{i-1} \notin \{p_i, p_{i+1}, \dots, p_j\}$ . This implies that  $r_{i-1}$  is not a node of subtree  $T_x$ . However,  $l_i (= p_j)$  is a vertex in  $T_x$ . It means that edge  $(r_{i-1}, l_i)$  is an edge that combines  $T_x$  with another subtree. By Lemma 3.2, we know  $(r_j, l_{j+1})$  is an edge of  $G$ . Also by the property of trees, the combination of two trees by one edge still forms a tree. Step 5 of Algorithm A combines all of subtrees to form a tree  $T^*$ . Thus, the number of edges of  $T^*$  is

$$(n_1 - 1) + (n_2 - 1) + \dots + (n_k - 1) + k - 1 = n - 1.$$

By the definition of a tree,  $T^*$  is a spanning tree of  $G$ .  $\square$

#### 4. Conclusion

Algorithm A can construct a spanning tree of a permutation graph. With the similar argument, we can construct another spanning tree by modifying Steps 4 and 5 of Algorithm A as follows.

Step 4. For  $i = 1$  to  $n$ , if  $p_i \neq r_i$ , then  $T^* = T^* \cup (p_i, r_i)$ .

Step 5. For  $i = 1$  to  $n - 1$ , if  $r_i \neq r_{i+1}$ , then  $T^* = T^* \cup (r_i, l_{i+1})$ .

In this paper we present a parallel algorithm to construct a spanning tree on an unweighted connected permutation graph with  $n$  vertices.

This problem can be solved in  $O(\log n)$  time by the above parallel algorithm with  $O(n/\log n)$  processors and linear space, and our approach used the parallel prefix computation on the EREW PRAM.

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